# **Stable Models of Multi-Valued Formulas: Partial vs. Total Functions**

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### Abstract

Recent extensions of the stable model semantics that allow *intensional* functions—functions that can be specified by logic programs using other functions and predicates—can be divided into two groups. One group defines a stable model in terms of minimality on the values of partial functions, and the other defines it in terms of uniqueness on the values of to-tal functions. We show that, in the context of multi-valued formulas, these two different approaches can be reduced to each other, and further, each of them can be viewed in terms of propositional formulas under the stable model semantics. Based on these results, we present a prototype implementation of different versions of functional stable model semantics by using existing answer set solvers.

## Introduction

The original stable model semantics (Gelfond and Lifschitz 1988) and many extensions have been restricted to Herbrand models, where the role of functions is guite limited. Recently a few extensions of the stable model semantics were proposed to allow intensional functions-functions that can be specified by logic programs using other functions and predicates. Despite the different forms in which these semantics were defined, they can be essentially divided into two groups. One group defines a stable model in terms of "minimality on the values of partial functions" (Cabalar 2011; Balduccini 2013) and the other defines it in terms of "uniqueness on the values of total functions" (Lifschitz 2012; Bartholomew and Lee 2012). While it is known that they coincide on some class of formulas (Bartholomew and Lee 2013), it does not look obvious if they can be reduced to each other in full generality. Further, it is not obvious how mathematical results in answer set programming that have been established in the absence of intensional functions would carry over to these extensions.

In this note, we address such issues in the context of multivalued formulas—a simple extension of standard propositional formulas where atoms can express functions mapping to finite domains. The convenience of using multi-valued formulas for knowledge representation is demonstrated in the context of nonmonotonic causal theories and action languages C+ (Giunchiglia et al. 2004) and BC (Lee, Lifschitz, and Yang 2013). For this paper, multi-valued formulas serve as a simple but useful special case of first-order formulas to compare different extensions of the functional stable model semantics.

The total function based stable model semantics for multivalued formulas is defined in (Bartholomew and Lee 2012). Here, following (Cabalar 2011; Balduccini 2013), we define the partial function based stable model semantics, which we call the CB-stable model semantics. This is essentially a generalization of the semantics from (Balduccini 2013). We show that multi-valued formulas under these functional stable model semantics can be viewed in terms of propositional formulas under the stable model semantics, with a slight difference to each other. This finding reveals the close relationship between the functional stable model semantics and their relationships to the propositional stable model semantics, and allows us to easily relate the mathematical results established for propositional formulas, such as the theorem on strong equivalence (Lifschitz, Pearce, and Valverde 2001) and the splitting theorem (Ferraris et al. 2009), to multi-valued formulas. In (Lee, Lifschitz, and Yang 2013), action language  $\mathcal{BC}$  is defined by a translation to multi-valued formulas and by a translation to logic programs. The equivalence between the two translations follows from our finding.

Given that both versions of the functional stable model semantics can be reduced to the propositional stable model semantics, one may wonder about the relationship between the two versions of the functional stable model semantics. Interestingly, we show that the functional stable model semantics that is based on partial functions can be fully embedded into the one that is based on total functions.

These results provide a way to implement the functional stable model semantics using existing ASP solvers. We present system MVSM based on this idea. The system is essentially a preprocessor to F2LP (Lee and Palla 2009), which in turn is a preprocessor to the ASP grounder GRINGO.

# Multi-Valued Formulas under the Stable Model Semantics

## **Review: Stable Models of Multi-Valued Formulas**

A (multi-valued) signature is a finite set  $\sigma$  of symbols called *constants*, along with a finite set Dom(c) of symbols that is disjoint from  $\sigma$  and contains at least two elements, assigned

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to each constant c. We call Dom(c) the domain of c. A multivalued atom of  $\sigma$  is  $\bot$ , or an expression of the form c=v("the value of c is v") where  $c \in \sigma$  and  $v \in Dom(c)$ . A (multi-valued) formula of  $\sigma$  is a propositional combination of multi-valued atoms.

A (multi-valued) interpretation of  $\sigma$  is a function that maps every element of  $\sigma$  to an element in its domain. A multivalued interpretation I satisfies an atom c=v (symbolically,  $I \models c=v$ ) if I(c) = v. The satisfaction relation is extended from atoms to arbitrary formulas according to the usual truth tables for the propositional connectives. We often identify an interpretation with the set of atoms of  $\sigma$  that are satisfied by I. We say that I is a (multi-valued) model of F if it satisfies F.

We understand an expression of the form c = d, where both c and d are constants, as an abbreviation for the formula

v

$$\bigvee_{\in Dom(c) \cap Dom(d)} (c = v \land d = v). \tag{1}$$

Let F be a multi-valued formula of signature  $\sigma$ , and let I be a multi-valued interpretation of  $\sigma$ . The *reduct* of F relative to I (denoted  $F^{\underline{I}}$ ) is the formula obtained from F by replacing each (maximal) subformula that is not satisfied by I with  $\bot$ . We call I a *multi-valued stable model* of F if I is the *only* multi-valued interpretation of  $\sigma$  that satisfies  $F^{\underline{I}}$ .

**Example 1** Take  $\sigma = \{c\}$  and  $Dom(c) = \{1, 2, 3\}$ , and let  $F_1$  be  $c=1\lor \neg(c=1)$  and let  $I_i$  (i = 1, 2, 3) be the interpretation that maps c to i. All three interpretations satisfy  $F_1$ , but  $I_1$  is the only stable model of  $F_1$ : the reduct  $F_1^{I_1}$  is  $c=1\lor \bot$ , and  $I_1$  is the only model of the reduct; the reduct of  $F_1$  relative to other interpretations is  $\bot \lor \neg \bot$ , which does not have a unique model.

If we conjoin c = 2 with  $F_1$ , we can check that the only stable model is c = 2, which illustrates the nonmonotonicity of the semantics.

As shown in Example 1, formulas of the form  $F \vee \neg F$ under the stable model semantics are useful for representing the concept of defaults involving functions. We abbreviate  $F \vee \neg F$  as  $\langle F \rangle$ . For example,  $F_1$  above can be written as  $\langle c = 1 \rangle$ .

### **Reducing Multi-Valued SM to Propositional SM**

In this section we show that the multi-valued stable model semantics can be viewed as a special case of the propositional stable model semantics. Let  $\sigma$  be a multi-valued signature, and let  $\sigma^{prop}$  be the propositional signature consisting of all propositional atoms c = v where  $c \in \sigma$  and  $v \in Dom(c)$ . For example, for  $\sigma$  in Example 1,  $\sigma^{prop}$  is the set  $\{c = 1, c = 2, c = 3\}$ , where each element is understood as a propositional atom. We identify a multi-valued interpretation of  $\sigma$  with the corresponding set of propositional atoms from  $\sigma^{prop}$ . It is clear that a multi-valued interpretation I of signature  $\sigma$  satisfies a multi-valued formula F iff I satisfies F when F is viewed as a propositional formula of signature  $\sigma^{prop}$ . Also, it is not difficult to show that multi-valued formulas can be turned into standard propositional formulas having the same models. Less obvious is whether such a translation exists while keeping same stable models. Theorem 1 below shows such a translation.

Given a multi-valued signature  $\sigma$ , by  $UC_{\sigma}$  ("Uniqueness Constraint") we denote the conjunction of

$$\bigwedge_{v \neq w \mid v, w \in Dom(c)} \neg (c = v \land c = w)$$
(2)

for all  $c \in \sigma$ , and by  $EC_{\sigma}$  ("Existence Constraint") we denote the conjunction of

$$\neg \neg \bigvee_{v \in Dom(c)} c = v , \qquad (3)$$

for all  $c \in \sigma$ . By  $UEC_{\sigma}$  we denote the conjunction of (2) and (3) for all  $c \in \sigma$ . For instance, in Example 1,  $UEC_{\sigma}$  is

$$\neg (c=1 \land c=2) \land \neg (c=2 \land c=3) \land \neg (c=1 \land c=3) \land \neg \neg (c=1 \lor c=2 \lor c=3).$$

**Theorem 1** Let F be a multi-valued formula of signature  $\sigma$ , which can be also viewed as a propositional formula of signature  $\sigma^{prop}$ .

- (a) If an interpretation I of  $\sigma$  is a multi-valued stable model of F, then I can be viewed as an interpretation of  $\sigma^{prop}$ that is a propositional stable model of  $F \wedge UEC_{\sigma}$  in the sense of (Ferraris 2005).
- (b) If an interpretation I of  $\sigma^{prop}$  is a propositional stable model of  $F \wedge UEC_{\sigma}$  in the sense of (Ferraris 2005), then I can be viewed as an interpretation of  $\sigma$  that is a multivalued stable model of F.

Note that the presence of  $\neg\neg$  in (3) is essential for Theorem 1 to be valid. For instance, consider the signature containing only one constant d whose domain is  $\{1, 2\}$  and F to be  $\top$ . F has no multi-valued stable models, but  $F \land \neg(d=1 \land d=2) \land (d=1 \lor d=2)$  has two propositional stable models:  $\{d=1\}$  and  $\{d=2\}$ .

# Multi-Valued Formulas under the CB-Stable Model Semantics

### **CB-Stable Models of Multi-Valued Formulas**

In this section we introduce a variant of the stable model semantics in the previous section, which we call the CB-stable model semantics. Unlike the previous section, this section allows interpretations to be partially defined. That is, some constants might not be mapped to any values. By *complete* interpretations, we mean a special case of partial interpretations where all constants are defined, which can be identified with "total" interpretations in the previous section.

We consider the same syntax of a multi-valued formula as in the previous section. As with total interpretations, a partial interpretation I satisfies an atom c = v if I(c) is defined and is mapped to v. This implies that an interpretation that is undefined on c does not satisfy any atom of the form c = wfor any  $w \in Dom(c)$ . As before, it is convenient to identify a partial interpretation I with the set of atoms of  $\sigma$  that are satisfied by this interpretation. For instance, an interpretation of  $\sigma = \{c\}$  which is undefined on c is identified with the empty set. Again, the satisfaction relation is extended from atoms to arbitrary formulas according to the usual truth tables for the propositional connectives. We call I a *model* of F if it satisfies F.

The reduct  $F^{\underline{I}}$  is defined to be the same as before. We say that a partial interpretation I is a *CB-stable model* of F if I satisfies F and no proper subset J of I satisfies  $F^{\underline{I}}$ .

**Example 1 Continued** Under the CB-stable model semantics,  $\langle c=1 \rangle$  does not mean that c is mapped to 1 by default. Instead, it means that c can be mapped to 1 or undefined. As before, the reduct  $F_1^{I_I}$  relative to  $I_1 = \{c=1\}$  is  $c=1 \lor \bot$ , and  $I_1$  is the minimal model of the reduct.<sup>1</sup> Further, for  $I_0$  that leaves c undefined, the reduct  $F_1^{I_0}$  is  $\bot \lor \neg \bot$ , and  $I_0$  is the minimal model of the reduct.

This difference in understanding  $\langle F \rangle$  tells us that multivalued stable models are more convenient for representing the commonsense law of inertia. For instance,

$$Loc_0 = Kitchen \rightarrow \langle Loc_1 = Kitchen \rangle$$

represents under the multi-valued stable model semantics that the location does not change by default, but under the CB-stable model semantics, the location may become unknown as well.

#### **Reducing CB-Stable Models to Propositional SM**

Similar to Theorem 1, the following theorem tells us that the CB-stable models of a multi-valued formula can be identified with the stable models of a propositional formula. The only difference is that we impose  $UC_{\sigma}$  in place of  $UEC_{\sigma}$ .

**Theorem 2** Let *F* be a multi-valued formula of signature  $\sigma$ , which can be also viewed as a propositional formula of signature  $\sigma^{prop}$ .

- (a) If a partial interpretation I of  $\sigma$  is a CB-stable model of F, then I can be viewed as an interpretation of  $\sigma^{prop}$  that is a propositional stable model of  $F \wedge UC_{\sigma}$  (in the sense of (Ferraris 2005)).
- (b) If an interpretation I of  $\sigma^{prop}$  is a propositional stable model of  $F \wedge UC_{\sigma}$  (in the sense of (Ferraris 2005)), then I can be viewed as a partial interpretation of  $\sigma$  that is a *CB*-stable model of F.

# **Reducing CB Semantics to Multi-Valued SM Reducing Multi-Valued SM to CB-Stable Models**

The following corollary immediately follows from Theorems 1 and 2. It tells us that the multi-valued stable model semantics can be fully embedded into the CB-stable model semantics.

**Corollary 1** For any multi-valued formula F of signature  $\sigma$  and any partial interpretation I, we have that I is a multi-valued stable model of F iff I is a CB-stable model of  $F \wedge EC_{\sigma}$ .

Unlike the way we treat c = d as an abbreviation of (1), in (Balduccini 2013), it was called a *t-atom*, for which the notion of satisfaction was defined directly: I satisfies c = dif I is defined on both c and d, and maps them to the same value. This is essentially equivalent to the way we understand c = d as shorthand for formula (1).<sup>2</sup>

Since *I* satisfies c = c iff *I* is defined on *c*, the assertion in Corollary 1 remains valid when we replace  $EC_{\sigma}$  in the statement with  $\neg \neg \left( \bigwedge_{c \in \sigma} c = c \right)$ .

### **Reducing CB-Stable Models to Multi-Valued SM**

Any multi-valued stable model of a formula is a CB-stable model, but not vice versa because an incomplete partial interpretation has no direct counterpart as a total interpretation. It may not look obvious how the CB-stable model semantics (based on partial functions) can be reduced to the multi-valued stable model semantics (based on total functions). Nevertheless, we show that it is possible.

Let  $\sigma$  be a multi-valued signature, and let  $\sigma^{none}$  be the signature that is the same as  $\sigma$  except that the domain of each constant has an additional new value NONE. Given a partial interpretation I of  $\sigma$ , by  $I^{none}$  we denote an interpretation of  $\sigma^{none}$  that agrees with I on all defined constants, and maps undefined constants to NONE. Recall that expression  $\langle F \rangle$  stands for the formula  $F \lor \neg F$ .

**Theorem 3** Let F be a multi-valued formula of signature  $\sigma$ .

- (a) If an interpretation I of  $\sigma$  is a CB-stable model of F, then  $I^{none}$  is a stable model of  $F \land \bigwedge_{c \in \sigma} \langle c = \text{NONE} \rangle$ .
- (b) If an interpretation J of  $\sigma^{none}$  is a stable model of  $F \land \bigwedge_{c \in \sigma} \langle c = \text{NONE} \rangle$  then  $J = I^{none}$  for some CB-stable model I of F.

For instance, in Example 1, the CB-stable models of  $F_1$  are in a 1-1 correspondence with the stable models of  $F_1 \wedge \langle c = \text{NONE} \rangle$ .

## System MVSM

System mvsm<sup>3</sup> is a prototype implementation of multivalued formulas under the stable model semantics. In fact, it is a script that invokes several software, such as MVPF2LPCOMPILER, F2LP, GRINGO, CLASPD, and AS2TRANSITION. MVPF2LPCOMPILER is an implementation of the translations in Theorem 1 and Theorem 2, which translates multi-valued formulas under the stable model semantics into standard propositional formulas under the stable model semantics. As the theorems show, the translations are very similar, and the user can choose which translation to use. F2LP then transforms the propositional formula into an ASP program in the input language of GRINGO v3. AS2TRANSITION takes the output of CLASPD and outputs propositional atoms in the form of multi-valued atoms. The composition of these software is depicted in Figure 1.

Shown below is a description of the blocks world domain in the language of MVSM assuming the multi-valued stable model semantics. The syntax of declarations follows the one in the input language of the Causal Calculator v2.<sup>4</sup> Compared to the usual ASP encoding, explicit declaration of sorts

<sup>&</sup>lt;sup>1</sup>Minimality is understood in terms of set inclusion.

<sup>&</sup>lt;sup>2</sup>In a sense, our treatment is more general because it allows the domains of c and d to be different.

<sup>&</sup>lt;sup>3</sup>http://sourceforge.net/projects/aspmt/ <sup>4</sup>http://www.cs.utexas.edu/~tag/cc/

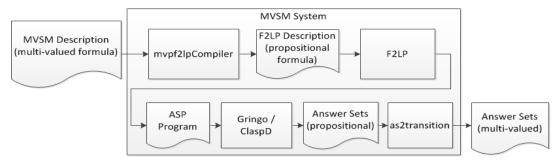


Figure 1: Architecture of MVSM

and type checking help reduce the programmer's mistakes. The inertia and exogeneity assumptions in the last three rules have simple reading, once we understand  $\langle F \rangle$  as representing defaults ({...}) was used in place of  $\langle ... \rangle$ ). There is no need to use strong negation.

% File 'bw': The blocks world :- sorts step; astep; location >> block. :- objects 0..maxstep :: step; 0..maxstep-1 :: astep; :: block; 1..6 table :: location. :- variables ST :: step; Т :: astep; Bool :: boolean; B, B1 :: block; L :: location. :- constants loc(block, step) :: location; move(block, location, astep) :: boolean. % two blocks can't be on the same block at the same time <- loc(B1,ST)=B & loc(B2,ST)=B & B1!=B2. % effect of moving a block loc(B, T+1) = L <- move(B, L, T). % a block can be moved only when it is clear <- move(B,L,T) & loc(B1,T)=B. % a block can't be moved onto a block that is being % moved also <- move(B,B1,T) & move(B1,L,T). % initial location is exogenous  $\{ loc(B, 0) = L \}$ .

% actions are exogenous {move(B,L,T)=Bool}.

% fluents are inertial
{loc(B,T+1)=L} <- loc(B,T)=L.</pre>

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